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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED**

In re application of:

Helland et al.

Application No. 09/425,102

Filed: October 22, 1999

For: SOFTWARE COMPONENT EXECUTION
MANAGEMENT USING CONTEXT
OBJECTS FOR TRACKING
EXTERNALLY-DEFINED INTRINSIC
PROPERTIES OF EXECUTING
SOFTWARE COMPONENTS WITHIN
AN EXECUTION ENVIRONMENT

Examiner: Not assigned

Date: November 8, 2000



Art Unit: 2756

NOV 15 2000

Technology Center 2100

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on November 8, 2000 as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

A handwritten signature in black ink, appearing to read "Stephen M. Helland".

Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(b)(3)

TO THE COMMISSIONER FOR PATENTS
Washington, DC 20231

Sir:

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Applicants filed this Information Disclosure Statement before the mailing date of a first Office action on the merits. However, if the Patent Office determines that a fee is required for Applicants to file this Information Disclosure Statement, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A **duplicate** copy of this Information Disclosure Statement is enclosed.

Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

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By


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cc: Client

INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Docket: 3382-53553	App: 09/425,102			
			Applicant: Helland et al.	RECEIVED			
			Filed: October 22, 1999	NOV 15 2000 Art Unit: 2750			
			Technology Center 2100				
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		WO 98 02809 A	21/22/98	International			
		EP 0 414 624 A	2/27/91	Europe			
		EP 0 674 260 A	9/27/95	Europe			
EXAMINER:				DATE			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket: 3382-53553	App. 09/425,102 NOV 15 2000
		Applicant: Helland et al.	Technology Center 2100
		Filed: October 22, 1999	Art Unit: 2756

**OTHER DOCUMENTS**

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		G. Eddon, "COM+: The Evolution of Component Services", IEEE on Computer, pp. 104-106 (7/1999)
		Object Transaction Services, OMG document 94.6.1 (6/1994)
		(No author given) "Transaction Context Objects in Microsoft TRansaction Server", MSDN, pp (2) (6/1997)
		D. Chappell, "The Microsoft Transaction Server (MTS) – Transactions Meet Components", white paper, Microsoft.com (6/1997)
		"Implementing Locale As Dynamically Loaded Shared Object Files", ibm Technical Disclosure Bulletin, US, IBM Corp., New York, Vol. 34, No. 12, pps. 117-118 XP000308454 ISSN: 0018-8689 – the whole document.
		Tripathi, A.R. et al.: "Design Of A Remote Procedure Call System For Object-Oriented Distributed Programming", Software Practice & Experience, GB, John Wiley & Sons Ltd., Chichester, Vol. 28, No. 1, pp. 23-47 XP000723715, ISSN: 0038-0644 – the whole document

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